

Annual Global Transaction Statement (AGTS)

Name of the client	
UCC(s) of the client	
PAN of the client	
Basis	Trade/ Settlement
Date of Issue of AGTS	
Financial Year	

Security/ Commodity Description	Exchange	Segment	Purchase Quantity	Purchase Value	Sale Quantity	Sale Value

Frequently Asked Questions (FAQs) on AGTS

- 1. Can a Member provide details of corporate benefits like bonus/ stock split and other non-market transactions like public issues, tender offers, etc. in the AGTS?**

Yes, the circular permits providing additional information to the client

- 2. Does the AGTS need to be issued to institutional clients?**

Since the settlement of institutional trades is through custodians, AGTS need not be issued to institutional clients.

- 3. How is Securities Lending and Borrowing (SLB) transaction to be reflected in the AGTS?**

SLB is not a purchase/ sale and therefore is not to be included in the AGTS. However, details of the SLB trades may be provided separately.

- 4. Can transaction details of same security traded in different exchanges be merged in a single line?**

Yes, the same may be merged in a single line. However, the exchange column should mention all the relevant exchanges